



PTO/SB/05 (08-03)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	11321-P056US
First Inventor	Khabashesku, et al.
Title	Method for Functionalizing Carbon Nanotubes Using Peroxides
Express Mail Label No.	EL812642220US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

**ADDRESS TO:**  
 Mail Stop Patent Application  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria VA 22313-1450

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
*(Submit an original and a duplicate for fee processing)*

2.  Applicant claims small entity status.  
 See 37 CFR 1.27.

3.  Specification [Total Pages 50]  
*(preferred arrangement set forth below)*

- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (*if filed*)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4.  Drawing(s) (35 U.S.C. 113) [Total Sheets 12]

5. Oath or Declaration [Total Sheets \_\_\_\_\_]
 

- a.  Newly executed (original or copy)
- b.  Copy from a prior application (37 CFR 1.63(d))  
*(for continuation/divisional with Box 18 completed)*
  - i.  **DELETION OF INVENTOR(S)**  
*Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).*

6.  Application Data Sheet. See 37 CFR 1.76

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (*Appendix*)

8. Nucleotide and/or Amino Acid Sequence Submission  
*(if applicable, all necessary)*

- a.  Computer Readable Form (CRF)
- b.  Specification Sequence Listing on:
  - I.  CD-ROM or CD-R (2 copies); or
  - II.  Paper
- c.  Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9.  Assignment Papers (cover sheet & document(s))

10.  37 CFR 3.73(b) Statement  Power of (when there is an assignee)  Attorney

11.  English Translation Document (*if applicable*)

12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations

13.  Preliminary Amendment

14.  Return Receipt Postcard (MPEP 503)  
*(Should be specifically itemized)*

15.  Certified Copy of Priority Document(s) (*if foreign priority is claimed*)

16.  Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.

17.  Other: check.....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation       Divisional       Continuation-in-part (CIP)      of prior application No. .....

Prior application information: *Examiner* *Art Unit:*  
 For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.  
 The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**
 Customer Number: \_\_\_\_\_ OR  Correspondence address below

Name	Ross Spencer Garson		
Address	Winstead Sechrest & Minick P.C. 1201 Main Street, P.O. Box 50784		
City	Dallas	State	TX
Country	US	Telephone	512-370-2870
Name (Print/Type)	Ross Spencer Garson	Registration No. (Attorney/Agent)	38,150
Signature	<i>Ross Spencer Garson</i>		
	Date	November 14, 2003	

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111403

1724 U.S. PTO

PTO/SB/17 (10-03)

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<b>FEE TRANSMITTAL</b>		<b>Complete if Known</b>	
<b>for FY 2004</b>		Application Number	TO BE ASSIGNED
		Filing Date	November 14, 2003
		First Named Inventor	Khabashesku, et al.
		Examiner Name	Unknown
		Art Unit	Unknown
		Attorney Docket No.	11321-P056US
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27			
<b>TOTAL AMOUNT OF PAYMENT</b>		(\$) <b>1900.00</b>	

<b>METHOD OF PAYMENT (check all that apply)</b>		<b>FEE CALCULATION (continued)</b>																																																																																																																									
<input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None <input checked="" type="checkbox"/> Deposit Account: Deposit Account Number: <b>23-2426</b> Deposit Account Name		<b>3. 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<b>SUBMITTED BY</b>		(Complete if applicable)	
Name (Print/Type)	Ross Spencer Garson	Registration No. (Attorney/Agent)	38,150
Signature	Date November 14, 2003		

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